

# Small Quasi-Kernel

## for Claw-free and One-way Split Digraphs

J. Ai S. Gerke G. Gutin A. Yeo Y. Zhou

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- 3 One-way Split Digraphs

# Kernels and Quasi-Kernels

## Definition

A **kernel** is an independent set  $K \subseteq V$  such that for any vertex  $v \in V \setminus K$  there exists a directed path with one arc from  $v$  to a vertex  $u \in K$ .

## Definition

A **quasi-kernel** is an independent set such that for any vertex  $v \in V \setminus Q$ , there exists a directed path with at most two arcs from  $v$  to a vertex  $u \in Q$ .

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- If  $N^+(v) \cup Q' \neq \emptyset$ ,  $Q$  is a quasi-kernel of  $D$ .



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- If  $N^+(v) \cup Q' \neq \emptyset$ ,  $Q$  is a quasi-kernel of  $D$ .
- Otherwise,  $Q' + v$  is a quasi-kernel of  $D$ .



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Theorem

Every digraph without odd cycles has a kernel.

# Conjecture and an equalvalent conjecture

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## Conjecture 2([A. Kostochka, R. Luo, and S. Shan, 2020])

Let  $D$  be an  $n$ -vertex digraph, and let  $S$  be the set of sinks of  $D$ . Then  $D$  has a quasi-kernel  $Q$  such that  $|Q| \leq \frac{n+|S|-|N^-(S)|}{2}$ .



# Our Result I (Anti-Claw-Free Digraph)

## theorem 1

Every sink-free digraph with no induced  $\vec{K}_{4,1}$  and no induced  $\vec{K}_{4,1}^+$  has a small quasi-kernel.

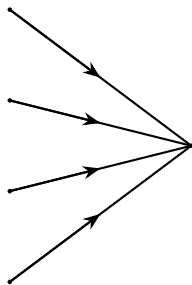


Figure:  $\vec{K}_{4,1}$

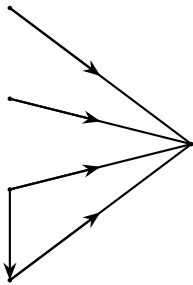


Figure:  $\vec{K}_{4,1}^+$

## theorem 2

Every sink-free digraph with no anti-claw has a small quasi-kernel.

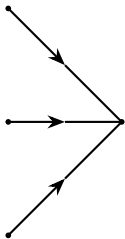
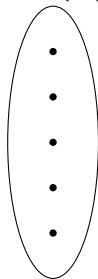


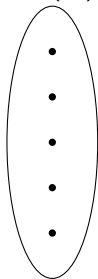
Figure:  $\vec{K}_{3,1}$

# Quasi-kernel $Q$ and its second neighbourhood

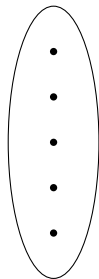
$N^{--}(Q)$



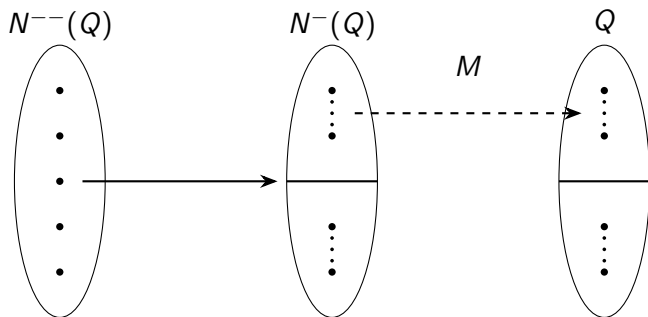
$N^{-}(Q)$



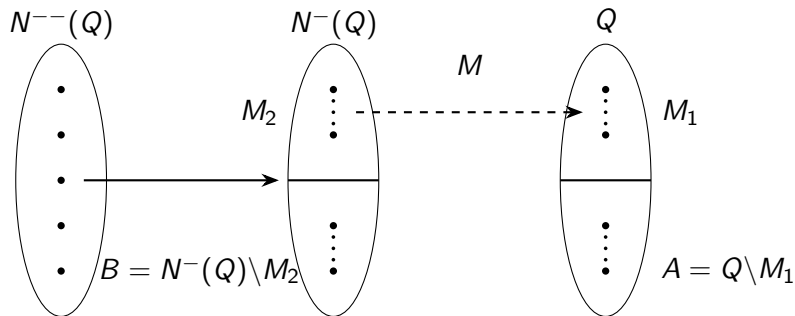
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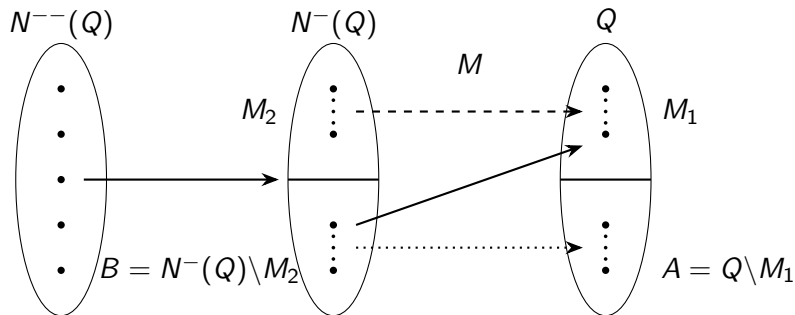
# The Maximal Matching From $N^-(Q)$ to $Q$



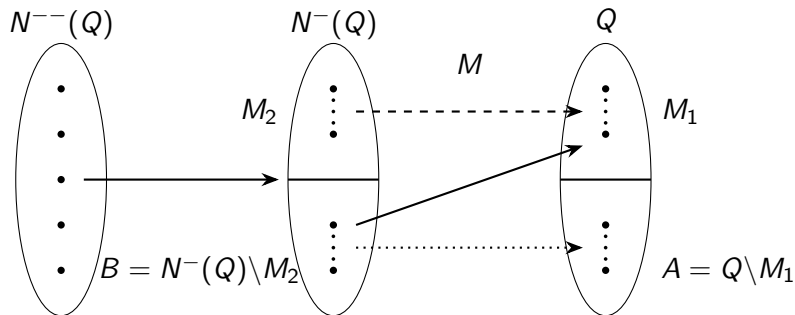
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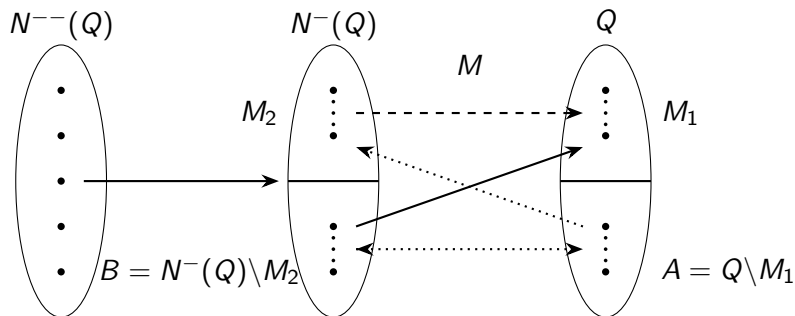


## Observation 1

$M_1$  is a quasi-kernel of  $D[V \setminus A]$ .

# The Maximal Matching From $N^-(Q)$ to $Q$

For a minimal quasi-kernel  $Q$  in  $D$



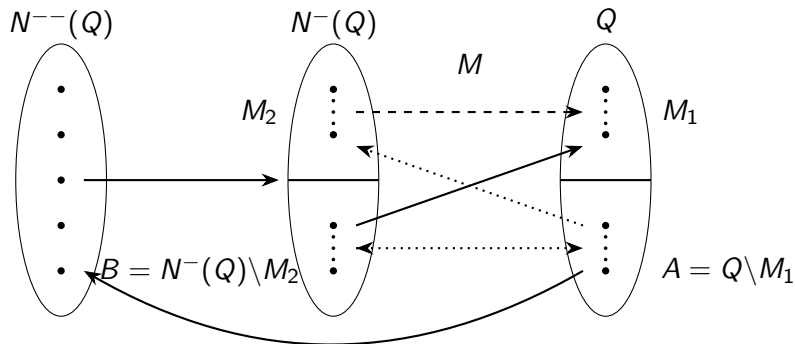
## Observation 2

If  $Q$  is **minimal** quasi-kernel then there is no arc from  $A$  to  $N^-(Q)$ .



# The Maximal Matching From $N^-(Q)$ to $Q$

For a minimal quasi-kernel  $Q$  in  $D$



## Observation 3

If  $Q$  is **minimal** quasi-kernel then for all  $v \in A$ ,  $|N^+(v) \cap N^{--}(Q)| \geq 1$ .

## Some Observations of the Structures

If  $Q$  is a good quasi-kernel, that is, a quasi-kernel  $Q$  such that every vertex  $v \in Q$  has an arc to  $N^-(Q)$  then we can remove all vertices in  $A$  to obtain a quasi-kernel that is no larger than its in-neighbourhood and thus is small.

### Observation 4

If a digraph  $D$  has a good quasi-kernel then  $D$  has a small quasi-kernel.

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### Observation 4

If a digraph  $D$  has a good quasi-kernel then  $D$  has a small quasi-kernel.

If a digraph with a kernel is sink-free then every kernel is a good quasi-kernel. Thus we obtain the following observation first proved by van Hulst [A. van Hulst, 2021].

### Observation 5

Every sink-free digraph with a kernel has a small quasi-kernel.

# Sketch of the Proof

- 1 Let's assume  $Q$  is not small or equivalently  $|N^{--}(Q)| + |N^-(Q)| < |Q|$ .

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- 3  $v$  also must have an in-neighbour in  $M_1$  which together with 2 in-neighbours in  $A$  forms an anti-claw, a contradiction.

## Observation 6

Let  $Q$  be a quasi-kernel of a digraph  $D$ , and let  $\tilde{Q}$  be a quasi-kernel of  $D[N^{--}(Q)]$ . Then  $(Q \cup \tilde{Q}) \setminus N^{-}(\tilde{Q})$  is a quasi-kernel of  $D$ .



## Observation 6

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## Lemma 1

Let  $D$  be a sink-free digraph. If  $D$  has a quasi-kernel  $Q$  such that  $D[N^{--}(Q)]$  has a kernel, then  $D$  has a small quasi-kernel.

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Let  $D$  be an  $n$ -vertex digraph and  $S$  be the set of sinks of  $D$ . Suppose that  $V(D) \setminus N^-[S]$  has a partition  $V_1 \cup V_2$  such that  $D[V_i]$  is kernel-perfect for each  $i = 1, 2$ . Then  $D$  has a quasi-kernel of size at most  $(n + |S| - |N^-(S)|)/2$ .

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A digraph is kernel-perfect if every induced subdigraph of it has a kernel. Note that every digraph with no odd cycle is kernel-perfect. In particular, bipartite digraphs are kernel-perfect.

# The One-way Split Digraphs

## Definition

A digraph  $D$  is called a one-way split digraph, if its vertex set can be partitioned into  $X$  and  $Y$ , such that  $X$  induces an independent set and  $Y$  induces a semicomplete digraph (a digraph in which there is at least one arc between every pair of vertices) and any arcs between  $X$  and  $Y$  go from  $X$  to  $Y$ .

## Our Result II (One-way Split Digraphs)

### Theorem

*Let  $D$  be an one-way split digraph of order  $n$  with no sinks. Then  $D$  has a quasi-kernel of size at most  $\frac{n+3}{2} - \sqrt{n}$ . Furthermore, for infinitely many values of  $n$  there exists a one-way split digraph of order  $n$ , with no sink, such that the minimum size of quasi-kernels of  $D$  is  $\frac{n+3}{2} - \sqrt{n}$ .*

## Sketch of the Proof

- 1 Let  $D$  be a one-way split digraph of order  $n$  with no sink. Let  $X$  and  $Y$  be a partition of  $V(D)$  such that  $X$  is independent and  $Y$  induces a semicomplete digraph and all arcs between  $X$  and  $Y$  go from  $X$  to  $Y$ .

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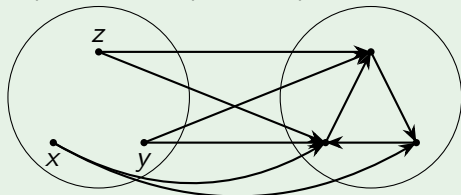
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### Example

$X$  (Independent)       $Y$  (Semicomplete)



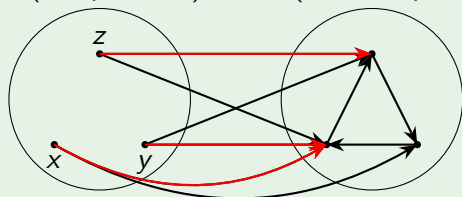
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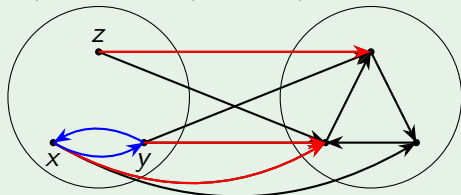
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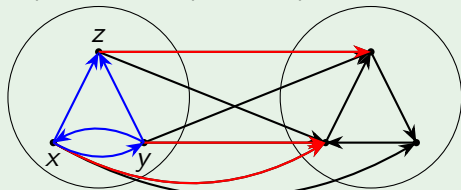
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- 4 Observe that there is at least one arc between any pair of vertices in  $H$ .

1

$$|A(H)| \geq \binom{|X|}{2} + \sum_{y \in Y} \binom{|R(y)|}{2}$$



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$$Q = \{y\} \cup (X \setminus (N_D^-[y] \cup (\cup_{r \in N^-[y] \cap Y} R(r))))$$

- 3

$$N_H^-[x] \subseteq \cup_{r \in N^-[v(x)] \cap Y} R(r) \subseteq \cup_{r \in N^-[y] \cap Y} R(r)$$

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$$|Q| \leq 1 + |X| - \left( \frac{|X| - 1}{2} + \frac{|X|/|Y| - 1}{2} + 1 \right) = \frac{|X|}{2} - \frac{|X|/|Y|}{2} + 1$$

# A Extremal Example

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We let  $k \geq 1$  be any integer and construct the digraph  $D_k$  of order  $(2k + 1)^2$  as follows. Let  $T$  be a  $k$ -regular tournament of order  $2k + 1$  and for each vertex,  $v$ , of  $T$  add  $2k$  new vertices,  $V_v$ , with arcs into  $v$ . The resulting digraph,  $D_k$ , has order  $(2k + 1)^2$  and is a one-way split digraph with partition  $V(T)$  (the tournament) and  $V(D_k) \setminus V(T)$  (the independent set).



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The size of quasi-kernels in  $|Q|$ :

$$|Q| \geq 2k^2 + 1 = \frac{4k^2 + 4k + 1}{2} - \frac{4k + 2}{2} + \frac{3}{2} = \frac{n}{2} - \sqrt{n} + \frac{3}{2}$$

Thank you for your attention!



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