# A model theoretic approach to sparsity

#### Jaroslav Nešetřil

#### Patrice Ossona de Mendez

Charles University Praha, Czech Republic CAMS CNRS/EHESS Paris, France Zhejiang Normal University Jinhua, PRC

— Shanghaï 2020 —







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# Sparse vs Dense — Simple vs Complex



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# Part I: Sparsity

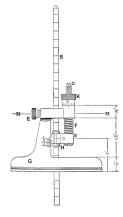




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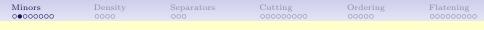
# Shallow minors





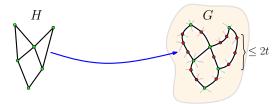
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## Topological resolution of a class ${\mathscr C}$

Shallow topological minors at depth t:



 $\mathscr{C} \widetilde{\nabla} t = \{H : \text{some } \leq 2t \text{-subdivision of } H \text{ is a subgraph of some } G \in \mathscr{C}\}.$ 

Topological resolution:

$$\mathscr{C} \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ 0 \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ 1 \ \subseteq \ \ldots \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ t \ \subseteq \ \ldots \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ \infty$$

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 The Somewhere dense
 Nowhere dense dichotomy

A class  $\mathscr{C}$  is *somewhere dense* if there exists  $\tau$  such that  $\mathscr{C} \tilde{\nabla} \tau$  contains all graphs.

$$\iff \quad (\exists \tau) \ \omega(\mathscr{C} \ \widetilde{\forall} \ \tau) = \infty.$$

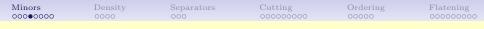
A class  $\mathscr{C}$  is *nowhere dense* otherwise.

$$\iff \quad (\forall \tau) \ \omega(\mathscr{C} \ \widetilde{\nabla} \ \tau) < \infty.$$

We define

$$\widetilde{\omega}_{\tau}(G) := \max_{H \in G \,\widetilde{\vee}\, \tau} \,\omega(H).$$

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#### Bounded expansion classes

A class  $\mathscr{C}$  has *bounded expansion* if for every  $\tau$  the class  $\mathscr{C} \ \widetilde{\nabla} \tau$  has bounded average degree.

$$\iff \quad (\forall \tau) \ \overline{\mathbf{d}}(\mathscr{C} \ \widetilde{\nabla} \ \tau) = \infty.$$

Remark that bounded expansion  $\implies$  nowhere dense.

We define

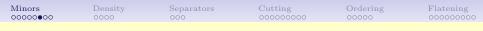
$$\widetilde{\nabla}_{\tau}(G) := \max_{H \in G \,\widetilde{\nabla}\,\tau} \frac{\|H\|}{|H|}.$$



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		Exa	mples		

- planar graphs;
- cubic graphs;
- $K_n$  subdivided log n times;
- graphs such that any two vertices u, v are at distance at least  $f(\min(d(u), d(v)))$  with f non decreasing unbounded.
- the class of graphs G with  $\Delta(G) \leq \operatorname{girth}(G)$ ;
- classes of cage graphs G with degree  $|G|^{o(1)}$ .



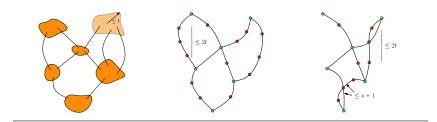


# Every kind of shallow minors

#### Minor

 $Topological\ minor$ 

#### Immersion





Minors	Density	Separators	Cutting	Ordering	Flatening
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	d	$\chi$	$\chi_f$	ω		
Minors						
Topological minors	Bounded expansion			Nowhere dense		
Immersions						
Definition						



Minors	$\begin{array}{c} \text{Density} \\ \text{0000} \end{array}$	Separators	Cutting	Ordering	Flatening
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	d	$\chi$	$\chi_f$	ω		
Minors				Nowhere dense		
Topological minors	Bounded expansion			Nowhere dense		
Immersions						
$\omega_r(G) \le (\widetilde{\omega}_{3r+1}(G))^{2r+2}$						



Minors	Density	Separators	Cutting	Ordering	Flatening
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	d	$\chi$	$\chi_f$	ω
Minors	Bounded expansion			Nowhere dense
Topological minors	Bounded expansion			Nowhere dense
Immersions				
	$\nabla_r(G) \le 2^{r^2 + 3r + 3} \lceil \widetilde{\nabla}_r(G) \rceil^{(r+2)^2}$			



Minors	Density	Separators	Cutting	Ordering	Flatening
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_	d	χ	$\chi_f$	ω
Minors	Bounded expansion			Nowhere dense
Topological minors	Bounded expansion			Nowhere dense
Immersions	Bounded expansion			Nowhere dense
$\widetilde{\nabla}_r(G \bullet K_p) \le p(p+2r)\widetilde{\nabla}_r(G),  ext{ etc.}$				



Minors	Density	Separators	Cutting	Ordering	Flatening
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	$\overline{\mathrm{d}}$	$\chi$	$\chi_f$	ω	
Minors	Bounded expansion	Bounded expansion		Nowhere dense	
Topological minors	Bounded expansion	Bounded expansion		Nowhere dense	
Immersions	Bounded expansion	Bounded expansion		Nowhere dense	
$\chi(G\widetilde{\triangledown}(2r+1))\gtrsim\widetilde{ abla}_r(G)^{1/3}/\log\widetilde{ abla}_r(G)$ (Dvořák '07)					

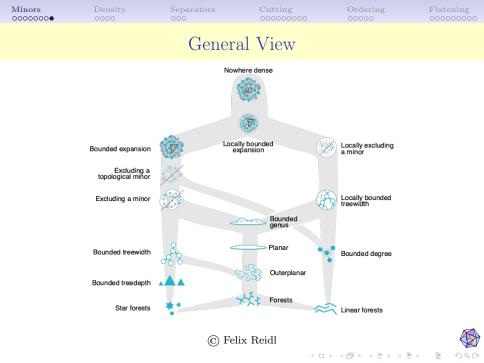


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	d	$\chi$	$\chi_f$	ω		
Minors	Bounded	Bounded	Bounded	Nowhere		
	expansion	expansion	expansion	dense		
Topological	Bounded	Bounded	Bounded	Nowhere		
minors	expansion	expansion	expansion	dense		
Immersions	Bounded	Bounded	Bounded	Nowhere		
	expansion	expansion	expansion	dense		
$\chi_f(G \widetilde{\triangledown}  (2r+1)) \geq 0.19 \widetilde{ abla}_r(G)^{1/3}  ext{ (Dvořák, POM, Wu '19+)}$						



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## Unavoidable subgraphs

## Theorem (Erdős, Simonovits, Stone)

$$ex(n, H) = \left(1 - \frac{1}{\chi(H) - 1}\right) {n \choose 2} + o(n^2).$$



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## Unavoidable subgraphs

Theorem (Erdős, Simonovits, Stone)

$$ex(n, H) = \left(1 - \frac{1}{\chi(H) - 1}\right) \binom{n}{2} + o(n^2).$$

#### Theorem (Jiang, Seiver '12)

Let F be a subdivision of a graph H, where each edge is subdivided by an even number of vertices (at least 2m). Then

$$\operatorname{ex}(n,F) = O(n^{1+\frac{8}{m}}).$$



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#### Concentration

## Theorem (Jiang, Seiver '12)

$$ex(n, K_t^{(\leq 2p)}) = O(n^{1+\frac{8}{p}}).$$

$$\begin{split} \mathscr{C} \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ 0 \ \subseteq \ \ldots \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ t \ \subseteq \ \ldots \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ \frac{8t}{\epsilon} \ \subseteq \ \ldots \ \subseteq \ \mathscr{C} \ \widetilde{\nabla} \ \infty \\ & \uparrow \\ \|G\| > C_t \ |G|^{1+\epsilon} \qquad K_t \end{split}$$

||G|| = number of edges |G| = number of vertices

Hence:

$$\limsup_{G\in\mathscr{C}\,\widetilde{\heartsuit}\,t}\frac{\log\|G\|}{\log|G|}>1+\epsilon\quad\Longrightarrow\quad \limsup_{G\in\mathscr{C}\,\widetilde{\heartsuit}\,\frac{8t}{\epsilon}}\frac{\log\|G\|}{\log|G|}=2.$$



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### Classification by logarithmic density

#### Theorem (Class trichotomy — Nešetřil and POM)

Let  ${\mathscr C}$  be an infinite class of graphs. Then

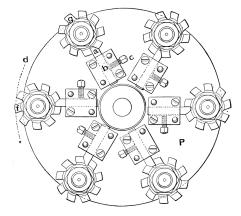
$$\sup_t \limsup_{G \in \mathscr{C} \ \widetilde{\bigtriangledown} \ t} \frac{\log \|G\|}{\log |G|} \in \{-\infty, 0, 1, 2\}.$$

- bounded size class  $\iff -\infty$  or 0;
- nowhere dense class  $\iff -\infty, 0 \text{ or } 1;$
- somewhere dense class  $\iff 2$ .



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## Expansion and Separators





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## Polynomial expansion

#### Definition

A class  ${\mathscr C}$  has polynomial expansion if there is a polynomial P with

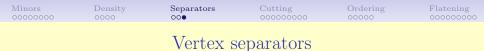
$$\nabla_r(G) \le P(r) \qquad (\forall G \in \mathscr{C}).$$

A class  ${\mathscr C}$  has polynomial  $\omega\text{-expansion}$  if there is a polynomial P with

$$\omega_r(G) \le P(r) \qquad (\forall G \in \mathscr{C}).$$

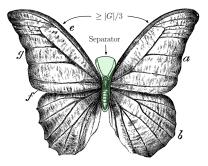
- planar graphs have polynomial expansion;
- cubic graphs do not have polynomial expansion.





#### Definition

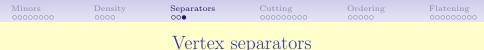
A class  $\mathscr{C}$  has strongly sublinear separators if there exists a constant  $\delta > 0$  such that every graph  $G \in \mathscr{C}$  has a balanced vertex separator of size at most  $|G|^{1-\delta}$ .





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#### Definition

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#### Theorem (Dvořák '14)

Let  ${\mathscr C}$  be a hereditary class of graphs. The following are equivalent:

- 1.  $\mathscr{C}$  has polynomial expansion;
- 2.  $\mathscr{C}$  has polynomial  $\omega$ -expansion;
- 3. C has strongly sublinear separators.



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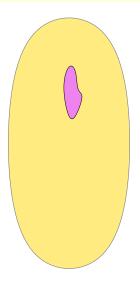
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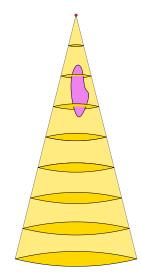
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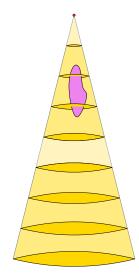
 $\rightarrow$  partition vertices of G by distance to a root mod |F| + 1;

(Eppstein '00)

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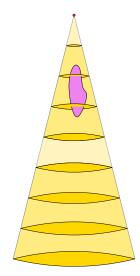
→ partition vertices of G by distance to a root mod |F| + 1; then unions of  $\leq |F|$  parts induce a subgraph  $G_I$  with bounded tw;

(Eppstein '00)

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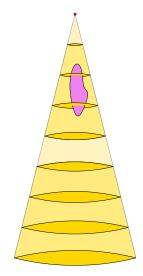
- $\rightarrow$  partition vertices of G by distance to a root mod |F| + 1;
- then unions of  $\leq |F|$  parts induce a subgraph  $G_I$  with bounded tw;
  - $\rightarrow$  solve the problem in each  $G_I$ ;

(Eppstein '00)

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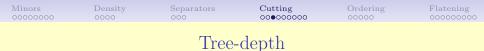


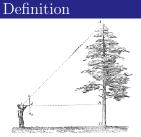
- $\rightarrow$  partition vertices of G by distance to a root mod |F| + 1;
- then unions of  $\leq |F|$  parts induce a subgraph  $G_I$  with bounded tw;
  - $\rightarrow$  solve the problem in each  $G_I$ ; (Eppstein '00)

◊ low tree-width decompositions (DeVos, Ding, Oporowski, Sanders, Reed, Seymour, Vertigan '04)

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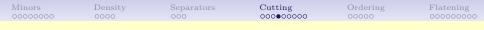
The *tree-depth* td(G) of a graph G is the minimum height of a rooted forest Y s.t.

 $G \subseteq \operatorname{Closure}(Y).$ 

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 $\operatorname{td}(P_n) = \log_2(n+1)$ 

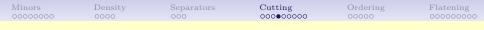




### Low tree-depth decompositions

 $\chi_p(G)$  is the minimum number of colors such that every subset I of  $\leq p$  colors induces a subgraph  $G_I$  so that  $td(G_I) \leq |I|$ .  $\iff$  the minimum number of colors in a *p*-centered coloring of G, i.e. a coloring such that every subgraph with  $\leq p$ -colors has some uniquely colored vertex.

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### Low tree-depth decompositions

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#### Theorem (Nešetřil and POM; 2006, 2010)

$$\forall p, \sup_{G \in \mathscr{C}} \chi_p(G) < \infty \qquad \Longleftrightarrow \qquad \mathscr{C} \text{ has bounded expansion.}$$

$$\forall p, \ \limsup_{G \in \mathscr{C}} \frac{\log \chi_p(G)}{\log |G|} = 0 \qquad \Longleftrightarrow \qquad \mathscr{C} \text{ is nowhere dense.}$$



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## Algorithmic version

#### Theorem (Nešetřil and POM '06)

For every integer p there is a polynomial  $P_p$  (deg  $P_p \approx 2^{2^p}$ ) such that for every graph G it holds

$$\chi_p(G) \le N_p(G) \le P_p(\widetilde{\nabla}_{2^{p-2}+1}(G)),$$

and G has a p-centered coloring with at most  $N_p(G)$  colors, which can be computed in  $O(N_p(G)|G|)$ -time.

- $\rightarrow$  linear time for bounded expansion classes;
- $\rightarrow\,$  almost linear time for nowhere dense classes.



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Bounds									
-	Class of graphs		$\chi_p$						
-	Maximum degree $\leq \Delta$	$\Omega(\Delta^{2-\frac{1}{p}}p\ln^{-1}$	$\Omega(\Delta^{2-\frac{1}{p}} p \ln^{-1/p} \Delta), O(\Delta^{2-\frac{1}{p}} p)$						
-	Outerplanar	<i>O</i> ( <i>j</i>	$O(p \log p)$						
-	Planar	<i>O</i> ( <i>p</i>	$O(p^3 \log p)$						
-	Tree-width	(	$\binom{p+t}{t}$						
-	No topological $K_t$ mine	r O(	$O(P_t(p))$						
-	$\nabla_r \le r+2$	Ω(	$(2^{c\sqrt{p}})$						

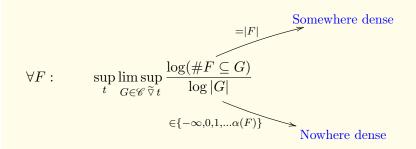
(Dębski, Felsner, Micek, Schröder '20; Pilipczuk, Siebertz '19) (Dubois, Joret, Perarnau, Pilipczuk '20)





## Application: Logarithmic density

## Theorem (Nešetřil and POM)



#### Remark

Proof based on Low Tree-Depth Decompositions and regularity properties of bounded height trees.





Application: Restricted Homomorphism Dualities

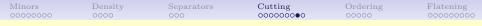
#### Theorem (Nešetril, POM '06)

Every class C with bounded expansion has all restricted dualities (ARD):  $\forall F$  connected  $\exists D$  such that  $F \not\rightarrow D$  and

$$\forall G \in \mathcal{C}, \qquad (F \nrightarrow G) \iff (G \to D).$$

# Example (Naserasr '07) $\forall$ planar G $\swarrow$ $\leftrightarrow$ $G \rightarrow$

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Application: Restricted Homomorphism Dualities

#### Theorem (Nešetril, POM '06)

Every class C with bounded expansion has all restricted dualities (ARD):  $\forall F$  connected  $\exists D$  such that  $F \not\rightarrow D$  and

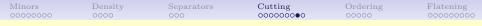
$$\forall G \in \mathcal{C}, \qquad (F \nrightarrow G) \iff (G \to D).$$

#### Example (Thomassen '94)

 $\forall$  toroidal G



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## Application: Restricted Homomorphism Dualities

## Theorem (Nešetril, POM '06)

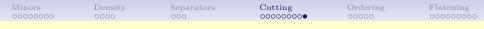
Every class C with bounded expansion has all restricted dualities (ARD):  $\forall F$  connected  $\exists D$  such that  $F \not\rightarrow D$  and

$$\forall G \in \mathcal{C}, \qquad (F \nrightarrow G) \iff (G \to D).$$

#### Theorem (Nešetril, POM '12)

- For class C of graphs closed under subdivisions: C has ARD  $\iff C$  has bounded expansion.
- For class C of directed graphs closed under reorientations: C has ARD  $\iff C$  has bounded expansion.





## Application: Model checking

#### Theorem (Dvořák, Kráľ, Thomas 2010)

For every class  $\mathscr{C}$  with bounded expansion, every property of graphs definable in first-order logic can be decided in time O(n) on  $\mathscr{C}$ .

## Theorem (Kazana, Segoufin 2013)

For every class  $\mathscr{C}$  with bounded expansion, every first-order definable subset can be enumerated in lexicographic order in constant time between consecutive outputs and linear time preprocessing time.

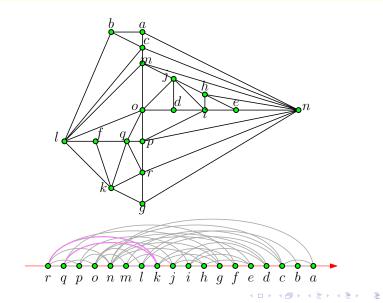


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## Coloring number

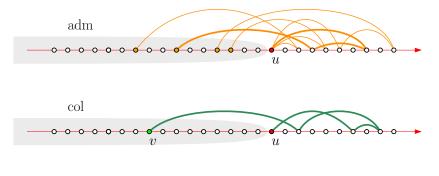


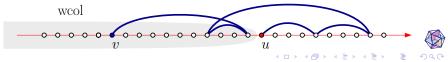




## Generalized coloring numbers

$$\operatorname{adm}_r(G) \le \operatorname{col}_r(G) \le \operatorname{wcol}_r(G) \le 1 + r(\operatorname{adm}_r(G) - 1)^{r}$$





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Class of graphs	$\operatorname{wcol}_r$	
Bounded expansion	$\leq f(r)$	(Zhu '09)
No $K_t$ -minor	$\binom{r+t-2}{t-2}(t-3)(2r+1)$	$\in O(r^{t-1})$
Planar	$\binom{r+2}{2}(2r+1)$	$\in O(r^3)$

(van den Heuvel, POM, Quiroz, Rabinovich, Siebertz '17)





## Application: r-neighbourhood covers

#### Lemma (Grohe, Kreutzer, Siebertz 2013)

Let  $r\in\mathbb{N}.$  For every graph G there exists a family  $\mathscr X$  of induced subgraphs of G s.t.

- the maximum radius of  $H \in \mathscr{X}$  is  $\leq 2r$ ;
- every  $v \in G$  has all its *r*-neighborhood in some  $H \in \mathscr{X}$ ;
- every  $v \in G$  belongs to at most  $\operatorname{wcol}_{2r}(G)$  subgraphs in  $\mathscr{X}$ .

#### Remark

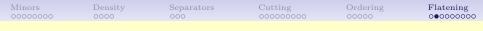
Leads to a characterization of nowhere dense and bounded expansion monotone classes.



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## Uniformly quasi-wide classes

A class  $\mathscr{C}$  of graphs is *uniformly quasi-wide* if  $\forall d \exists s \forall m \exists N: \forall G \in \mathscr{C}, A \subseteq V(G), |A| \geq N, \exists S \subseteq V(G), X \subseteq A$  with

• 
$$|S| \leq s, |X| \geq m,$$

• 
$$\forall x \neq y \in X \setminus S$$
,  $\operatorname{dist}_{G-S}(x, y) > d$ .

#### Theorem (Nešetril and Ossona de Mendez '10)

A class of graphs is uniformly quasi-wide if and only if it is nowhere dense.



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## Polynomial uniform quasi-wideness

## Theorem (Pilipczuk, Siebertz, Toruńczyk '18)

 $\forall r, t$  there is a polynomial P of degree at most  $(2t+1)^{2rt}$  s.t. the following holds:

Let G be a graph such that  $K_t \notin G \triangledown \lceil 5r/2 \rceil$  and let  $A \subseteq V(G)$ with  $|A| \ge P(m)$  then  $\exists S \subseteq V(G)$  with  $|S| \le t$  and  $X \subseteq A - S$ with  $|X| \ge m$  such that X is r-independent in G - S. Moreover, given G and A, sets S and X can be computed in time  $O(|A| \cdot ||G||)$ .





## Application: Distance-r Dominating Sets

#### Lemma (Pilipczuk, Siebertz '18)

Let  $\mathscr{C}$  be a nowhere dense class and let  $r \in \mathbb{N}$ . Let  $Z \subseteq V(G)$  be a large enough vertex subset  $(|Z| \ge F_{\mathscr{C},r}(k))$ . Then we can compute in polynomial time a vertex  $w \in Z$  such that for any set  $D \subseteq V(G)$  satisfying  $|D| \le k$ , we have

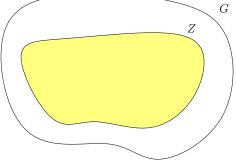
D distance-r dominates Z

$$\Leftrightarrow$$

D distance-r dominates  $Z - \{w\}$ .



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 $Z_{\mathscr{C},r} \geq F(k)$ 

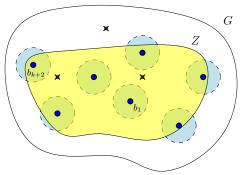


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 $\rightarrow \exists S = \{z_1, \dots, z_s\}$  and  $> (k+2)(s+1)^r$  vertices pairwise at distance > r in G - S.



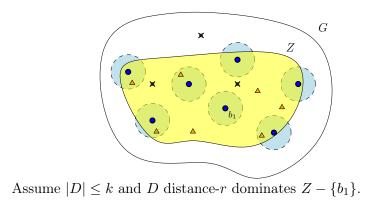
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 $\rightarrow \exists S = \{z_1, \ldots, z_s\}$  and  $> (k+2)(s+1)^r$  vertices pairwise at distance > r in G - S. Among them,  $b_1, \ldots, b_{k+2}$  have the same distance profile w.r.t.  $z_1, \ldots, z_s$ . We let  $w := b_1$ .

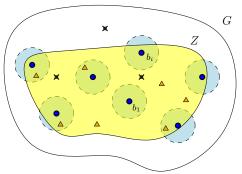


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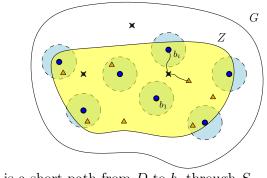
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Assume  $|D| \leq k$  and D distance-r dominates  $Z - \{b_1\}$ . Let  $b_i$  be such that no vertex of D is at distance at most r from  $b_i$  in G - S.



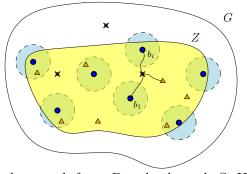
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 $\rightarrow$  There is a short path from D to  $b_i$  through S.



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Proof					



 $\rightarrow$  There is a short path from D to  $b_1$  through S. Hence D distance-r dominates  $b_1$ .





## Application: Model checking

Theorem (Grohe, Kreutzer, Siebertz 2014)

For every nowhere dense class  $\mathscr{C}$  and every  $\epsilon > 0$ , every property of graphs definable in first-order logic can be decided in time  $O(n^{1+\epsilon})$  on  $\mathscr{C}$ .

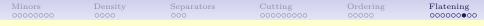
Theorem (Dvořák, Kráľ, Thomas 2010; Kreutzer 2011)

if a monotone class  $\mathscr{C}$  is somewhere dense, then deciding firstorder properties of graphs in  $\mathscr{C}$  is not fixed-parameter tractable (unless FPT = W[1].

#### Remark

Hence a characterization of nowhere dense/somewhere dense dichotomy in terms of algorithmic complexity.



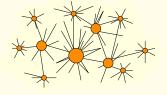


## Application: First-order Limits

#### Theorem (Nešetřil, POM '16)

A hereditary class of graphs C is nowhere dense if and only if  $\forall d, \forall \epsilon > 0, \forall G \in C, \exists S \subseteq G \text{ with } |S| \leq N(d, \epsilon)$  such that

$$\sup_{v \in G-S} \frac{|\mathcal{N}_{G-S}^d(v)|}{|G|} \le \epsilon.$$







## Application: First-order Limits

#### Theorem (Nešetřil, POM '19)

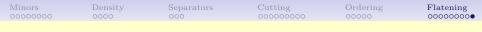
Let  $\mathscr{C}$  be a nowhere dense class and let  $G_1, G_2, \dots \in \mathscr{C}$ . Assume that for every first-order formula  $\phi(x_1, \dots, x_p)$  the probability  $\Pr[G_n \models \phi(X_1, \dots, X_p)]$  converges as  $n \to \infty$ . Then there exists a modeling **G** (i.e. a totally Borel graph on a probability space) such that for every first-order formula  $\phi(x_1, \dots, x_p)$  we have

$$\Pr[\mathbf{G} \models \phi(X_1, \dots, X_p)] = \lim_{n \to \infty} \Pr[G_n \models \phi(X_1, \dots, X_p)]$$

#### Remark

Actually a characterization of nowhere dense classes.





## Coffee break (and commercial)



## 下周继续 To be continued next week



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